

IAP7 Rec'd PCT/PTO 19 MAY 2006

FORM PTO-1390 (Modified)  
U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  
(REV 12-2004)

<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371</b>		ATTORNEY'S DOCKET NUMBER  <b>074439-0102</b>
INTERNATIONAL APPLICATION NO. PCT/IP2004/017182		U.S. APPLICATION NO. (If known, see 37 CFR 1.5) <b>Unassigned 10/579906</b>
INTERNATIONAL FILING DATE 11/18/2004		PRIORITY DATE CLAIMED 11/21/2003
TITLE OF INVENTION METHOD FOR EVALUATING RNAi ACTIVITY AND miRNA ACTIVITY		
APPLICANT(S) FOR DO/EO/US Yuki NAITO et al.		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<ol style="list-style-type: none"> <li>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</li> <li>4. <input type="checkbox"/> The US has been elected (Article 31).</li> <li>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))           <ul style="list-style-type: none"> <li><input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</li> <li><input checked="" type="checkbox"/> has been communicated by the International Bureau.</li> <li><input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US)</li> </ul> </li> <li>6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).           <ul style="list-style-type: none"> <li><input checked="" type="checkbox"/> is attached hereto.</li> <li><input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</li> </ul> </li> <li>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))           <ul style="list-style-type: none"> <li><input type="checkbox"/> are attached hereto (required only if not transmitted by the International Bureau).</li> <li><input type="checkbox"/> have been communicated by the International Bureau.</li> <li><input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li><input checked="" type="checkbox"/> have not been made and will not be made.</li> </ul> </li> <li>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li> <li>9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</li> <li>10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</li> </ol>		
Items 11 to 20 below concern other document(s) or information included:		
<ol style="list-style-type: none"> <li>11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</li> <li>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li> <li>13. <input type="checkbox"/> A preliminary amendment.</li> <li>14. <input checked="" type="checkbox"/> An Application Data Sheet under 37 CFR 1.76.</li> <li>15. <input type="checkbox"/> A substitute specification.</li> <li>16. <input type="checkbox"/> A power of attorney and/or change of address letter.</li> <li>17. <input checked="" type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13<sup>ter</sup>.2 and 37 CFR 1.821 – 1.825</li> <li>18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).</li> <li>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</li> <li>20. <input checked="" type="checkbox"/> Other items or information: International Search Report; Assertion of Small Entity Status.</li> </ol>		

IP20 Rec'd PCT/PTO 19 MAY 2006

U.S. APPLICATION NO. (If known, see 37 CFR 1.5) Unassigned <b>19/7579906</b>		INTERNATIONAL APPLICATION NO. PCT/IP2004/017182		ATTORNEY'S DOCKET NUMBER 074439-0102	
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The following fees have been submitted:

21. <input checked="" type="checkbox"/> Basic national fee	\$300	\$ 300.00
22. <input checked="" type="checkbox"/> Examination fee		
If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)		\$ 200.00
All other situations	\$200	
23. <input checked="" type="checkbox"/> Search fee		
Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority		\$100
International Search Report prepared and provided to the Office		\$400
All other situations	\$500	\$ 500.00
TOTAL OF ABOVE 21, 22 and 23 =		\$ 1000.00

☐ Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$ for each additional 50 sheets of paper or fraction thereof.

Total Sheets	Extra sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE	
61 - 100 =	0 /50 =	0	x \$250.00	\$ 0.00
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e))				\$ 130.00

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	
Total Claims	18 - 20 =	0	x \$ 50.00	\$ 0.00
Independent Claims	2 - 3 =	0	x \$ 200.00	\$ 0.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$ 360.00	\$ 360.00
TOTAL OF ABOVE CALCULATIONS =				\$ 1490.00

☒ Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.

+ \$ 745.00

SUBTOTAL = \$ 745.00

Processing fee of 130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).

TOTAL NATIONAL FEE = \$ 745.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). 40.00 per property

TOTAL FEES ENCLOSED = \$ 745.00

	Amount to be refunded:	
	charged:	

a. ☐ A check in the amount of \$745.00 to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. 19-0741 in the amount of \$745.00 to cover the above fees. A duplicate copy of this sheet is enclosed.

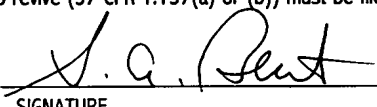
c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 19-0741. A duplicate copy of this sheet is enclosed.

d. ☒ Fees are to be charge to a credit card. **WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.

SEND ALL CORRESPONDENCE TO:

Foley & Lardner LLP  
Customer Number: 22428

  
 SIGNATURE  
 Stephen A. Bent  
 NAME  
 29,768  
 REGISTRATION NUMBER  
 Date: May 19, 2006

*IN THE UNITED STATES PATENT AND TRADEMARK OFFICE*

Applicant: Yuki NAITO et al.  
Title: METHOD FOR EVALUATING RNAi ACTIVITY AND miRNA ACTIVITY  
Int'l. Appl. No.: PCT/IP2004/017182  
Int'l. Filing Date: 11/18/2004  
Examiner: Unassigned  
Art Unit: Unassigned  
Confirmation Number: Unassigned

ASSERTION OF SMALL ENTITY STATUS

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §1.27(c)(1), Applicants hereby assert entitlement to small entity status in the above-identified application.

Respectfully submitted,

Date 18 May 2006

By S. A. Bent

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Stephen A. Bent  
Attorney for Applicant  
Registration No. 29,768